

A-G79 @B9CI G'H9LH'fl 97 : cfa ' - - L

PAGE 1 / 4

In 2006, the PAC manager for STA PAC passed away suddenly. The PAC struggled to keep accurate records in the months following his untimely death. Through our research, we have determined the source of much of the \$9646 discrepancy and have listed the result of our research below. We have made a one time cash on hand adjustment to reflect the undisclosed funds.

We are missing information for the following deposits made in 2006:

Amount: \$1,000
Date: 02/07/2006

Amount: \$500
Date: 02/21/2006

The following contributions were received in 2006:

Don E. Reynolds
1180 Oak River
Memphis, TN 38120
Amount: \$500
Date: 07/18/2006
Occupation: Securities Trader

George H. Casey
2560 Ardsheal Drive
La Habra Heights , CA 90631
Amount: \$500
Date: 07/18/2006
Employer: Crowell Weedon
Occupation: Securities Professional

On 07/24/2006, \$1,500 total was received:

Anthony Sanfilippo
525 Washington
Jersey City, NJ 07310
Amount: \$500
Date: 07/24/2006
Employer: Knight Trading Group
Occupation: Trader

Matthew Billings
410 S. LaSalle St.
Chicago, IL 60605
Amount: \$500
Date: 07/25/2006
Employer: ATD, LLC
Occupation: Securities Professional

Lawrence Birch
We are missing address, employer and occupation information.

A-G79 @G B9CI G'H9LH'fl 97 : cfa '- - L

PAGE 2 / 4

Amount: \$500
Date: 07/27/2006

John Giese
15 Meadow Ridge
Avon, CT 06001
Amount: \$500
Date: 08/01/2006
Employer: Securities Traders Association
Occupation: President

Christopher Sandel
6 Willow Way
Sea Bright, NJ 07760
Amount: \$500
Date: 08/01/2006
Employer: Trade King
Occupation: Securities Professional

David Meyer
We are missing address, employer and occupation information.
Amount: \$100
Date: 08/27/2006

Jennifer Martin
We are missing address, employer and occupation information.
Amount: \$100
Date: 09/04/2006

Stephen Kay
We are missing address, employer and occupation information.
Amount: \$500
Date: 09/12/2006

James Duncan
420 Lexington Ave.
New York, NY 10170
Amount: \$1,000
Date: 10/10/2006

George Lennon
We are missing address, employer and occupation information.
Amount: \$500
Date: 10/06/2006

John Daley
5960 Parker Rd.
Suite 278
Plano, TX 75093
Amount: \$500

A-G79 @5 B9CI G'H9LH'fl 97 : cfa '- - L

PAGE 3 / 4

Date: 10/12/2006
Employer: Stifel Nicklaus
Occupation: Securities Professional

Dawn Daleu
4824 Longvue Drive
Frisco, TX 75034
Amount: \$500
Date: 10/31/2006

Thomas McGibben
1 Tallwood Ct
Atherton, CA 94027
Amount: \$35
Date: 10/31/2006
We are missing employer and occupation information.

Lawrence Peruzzi
101 Federal Street
19th Floor
Boston, MA 02110
Amount: \$45
Date: 11/29/2006
Employer: Cabrera Capital Markets, LLC
Occupation: Securities Professional

We are missing information for the following deposits made in 2007:

Amount: \$40
Date: 05/04/2007

Amount: \$1,200
Date: 05/10/2007

Amount: \$370
Date: 09/17/2007

Amount: \$1,355
Date: 10/17/2007

Disbursements were made to the following individuals in 2007:

George Lennon
We are missing address as well as the purpose of this disbursement.
Amount: \$500
Date: 02/05/2007

James Duncan
420 Lexington Ave.
New York, NY 10170

A-G7 9 @5 B9CI G'H9LH'fl 97 : cfa ' - - L

PAGE 4 / 4

Amount: \$1,000

Date: 02/05/2007

We are missing information on the purpose of this disbursement.

Nick Savona

420 Lexington Ave

New York, NY 10170

Amount: \$500

Date: 02/05/2007

We are missing information on the purpose of this disbursement.

There is a total of \$401.27 for which we have no records.
